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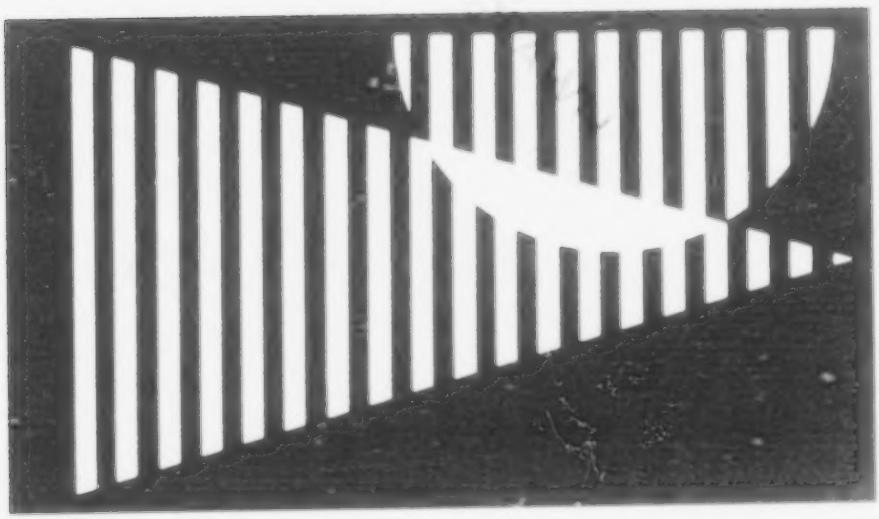
SBIR Pre-Solicitation Announcement

This issue contains information on the following SBIR Agency Solicitations.

National Science Foundation
U.S. Department of Health & Human Services
U.S. Department of Energy



June 1986
SBIR / PSA

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* The National Science Foundation is issuing addenda to clarify portions of its SBIR solicitation. For details, please see page 8 of this PSA.

I. HOW TO USE THIS SBIR PRE-SOLICITATION ANNOUNCEMENT (PSA)

The purpose of the SBIR Pre-Solicitation Announcement is to provide small business concerns with advance information on upcoming SBIR solicitations about to be released by the Federal agencies participating in the Program.

The U.S. Small Business Administration publishes the SBIR Pre-Solicitation Announcement quarterly -- in March, June, September, and December of each year.

These PSA's contain information on SBIR Program Solicitations scheduled for release during the following 3-month period. For example, a PSA issued in December would include information on SBIR Program Solicitations to be released in January, February, and March.

This publication may also contain information on previously announced SBIR Program Solicitations that have been released but on which the closing date has not as yet passed.

It is suggested that you carefully check this announcement beginning with Federal Agency/SBIR Topics that are available starting on Page 6.

Should you find a topic title that interests you, please contact the Federal agency designated for that topic to request a copy of their SBIR Program Solicitation.

The Small Business Administration does not distribute copies of SBIR Program Solicitations.

II. BACKGROUND ON THE SBIR PROGRAM

The Small Business Innovation Development Act which authorized the Small Business Innovation Research Program, was signed into law by the President on July 22, 1982.

The Act required that Federal agencies with research and research and development (R&R&D) budgets in excess of $100 million establish SBIR Programs. The funding of the SBIR Program is derived from fixed percentages of a participant agency's R&R&D budget.

At present, there are eleven (11) Federal agencies participating in the SBIR Program. They are listed on page 5.

Since inception of the program in calendar year 1982, a total of 25,859 proposals were received; 3,080 Phase I awards and 744 Phase II awards have been made. FY 1985 statistics show that 9,090 proposals were received; 1,397 Phase I and 406 Phase II awards were made. Cumulative figures for the program indicate that in excess of $355 million has been awarded. Approximately $200 million in SBIR funding was awarded in FY 1985.

The amount awarded under this program is expected to increase to approximately $500 million when the program is fully implemented in Fiscal Year 1987.

III. SBIR PROGRAM SOLICITATIONS AND PROPOSALS

The simplified SBIR Program Solicitation process affords small business concerns an excellent opportunity to propose innovative ideas that meet the Research and R&D needs of the Federal Government while potentially opening the door to future commercialization.

SBIR Program Solicitations contain detailed information on:

Research Topics

Instructions for the preparation of SBIR proposals

Release and closing dates

To obtain sufficient information on which to submit an SBIR proposal, you will need to contact the designated Federal agency and obtain a copy of the applicable solicitation. Copies of these solicitations are not available until their stated release date.

Under the SBIR Program, proposals are evaluated competitively by the participating Federal agencies to determine the best innovative solutions to the designated technical topics. SBIR Phase I proposals are limited to (25) pages.

SBIR Awards are made on a competitive basis. The selection criteria for this competitive process are further explained in the SBIR Program Solicitations released by each of the Federal agencies participating in the program.

IV. THE SBIR PROGRAM CONSISTS OF THE FOLLOWING THREE (3) PHASES

PHASE I - is to evaluate the scientific technical merit and feasibility of an idea. Awards of up to $50,000 with a period of performance normally not to exceed six months are involved.

ONLY PHASE I AWARDEES ARE ELIGIBLE FOR CONSIDERATION OF AWARD OF PHASE II.

PHASE II - is to expand on the results of and further pursue the development of Phase I. Awards of $500,000 or less with a period of performance normally not to exceed two years are involved.

PHASE III - is for the commercialization of the results of Phase II and requires the use of private or non-SBIR Federal funding. No SBIR funds may be utilized in this Phase.

V. ELIGIBILITY REQUIREMENTS

Only small business concerns with 500 or fewer employees that are organized for profit are eligible to receive SBIR awards.

Non-profit organizations and foreign based firms are not eligible to receive SBIR awards.

VI. The SBA/SBIR MAILING LIST

There is no single mailing list for all SBIR Program Solicitations. In order to receive copies, firms must request solicitations from each individual Federal agency that participates in the SBIR Program.

To assist small business concerns, the U. S. Small Business Administration publishes this SBIR Pre-Solicitation Announcement which contains pertinent data on all of the SBIR solicitations released each year by the participating Federal agencies.

The SBA Office of Innovation, Research and Technology maintains a computerized listing of firms that are provided with copies of the SBIR Pre-Solicitation Announcement on a regular basis. If you wish to be added to this list, please contact us at the following address:

Office of Innovation, Research
and Technology
U.S. Small Business Administration
1441 L Street, N. W., Room 500
Washington, D. C. 20416

For your convenience, a tear-out card is enclosed in this Announcement.

VII. SBA'S PROCUREMENT AUTOMATED SOURCE SYSTEM (PASS)

In addition, SBA's Office of Procurement and Technical Assistance has a Procurement Automated Source System (PASS) that maintains capability profiles of small businesses interested in Federal Government procurement opportunities. This system is used by Federal agencies and major prime contractors to identify small concerns with capabilities needed by the agencies or prime contractors. Being included in PASS could potentially lead to a contract or subcontract. For further information on the PASS program, you should contact:

Office of Procurement and
Technical Assistance
U.S. Small Business Administration
1441 L Street, N. W., Room 600
Washington, D. C. 20416

VIII. MASTER SCHEDULE OF SBIR SOLICITATIONS FISCAL YEAR 1986

AGENCY	RELEASE DATE	CLOSING DATE
Department of Agriculture	October 1, 1985	December 2, 1985
Department of Commerce	October 15, 1985	January 15, 1986
Department of Defense	October 1, 1985	January 31, 1986
Department of Education	January 29, 1986	March 31, 1986 *
Department of Energy - (FY 1987 Solicitation)	August 15, 1986	November 3, 1986
Department of Health & Human Services		
1. Public Health Service Health Care Financing Administration	October 1, 1985	December 6, 1985
2. Public Health Service	On or about January 15, 1986	April 15, 1986 August 15, 1986 December 15, 1986
Department of Transportation	February 13, 1986	May 1, 1986
Environmental Protection Agency	November 15, 1985	January 6, 1986
National Aeronautics & Space Administration	April 25, 1986 *	June 30, 1986 *
National Science Foundation	April 15, 1986 *	July 15, 1986
Nuclear Regulatory Commission	January 13, 1986	March 28, 1986

NOTE: The above dates may be subject to change by the participating agencies due to administrative reasons.

* Revised Dates

FEDERAL RESEARCH AND R&D TOPICS AVAILABLE

U. S. DEPARTMENT OF ENERGY

The RELEASE DATE for this SBIR Program Solicitation is: August 15, 1986

The CLOSING DATE for receipt of PHASE I proposals is: November 3, 1986

Copies of this U. S. Department of Energy FY 1987 SBIR Solicitation may be obtained from the following:

SBIR Program Manager
U. S. Department of Energy
Washington, D. C. 20545
(301) 353-5707

All other SBIR-related inquiries should be directed to:

Ms. Gerry Washington
SBIR Spokesperson
U. S. Department of Energy
Washington, D. C. 20545
(301) 353-5867

The estimated number for FY 1987 PHASE I awards is 100 at an approximate amount of $50,000 each under this solicitation.

THE FEDERAL RESEARCH TOPIC TITLES AVAILABLE UNDER THIS SBIR PROGRAM SOLICITATION FOLLOW:

Names of Technical Topics for 1987 Solicitation

1. Chemical Sciences Research
2. Materials Sciences
3. Advanced Control Systems
4. Plant Natural Product Chemistry
5. Health and Environmental Effects Instrumentation

FEDERAL RESEARCH AND R&D TOPICS AVAILABLE

U. S. DEPARTMENT OF ENERGY

6. Nuclear Medicine
7. Health and Environmental Effects Methodologies
8. High Energy Physics Technology and Research
9. High Energy Physics Data Processing and Detector Instrumentation
10. Particle Accelerator Technology
11. Nuclear Physics Instrumentation and Techniques
12. Plasma Diagnostics
13. Plasma Confinement Systems Technology
14. Fusion Energy Systems
15. Robotics and Remote Systems Technology for Nuclear Facilities
16. Sensors and Monitoring for Advanced Nuclear Reactors
17. Space Nuclear Power Technology and System Concepts
18. Fuel Cycle Technology
19. Advanced Technologies for Decontamination and Decommissioning of Nuclear Facilities
20. Computer Applications to Nuclear Powerplants
21. Coal Utilization and Conversion
22. Fossil Energy Instrumentation
23. Enhanced Oil Recovery and Tar Sands
24. Fossil Energy Materials
25. Photovoltaic Research
26. Solar Thermal Technology
27. High Temperature Structural Ceramics for Heat Engines
28. Industrial Separation, Conversion, and Recovery Processes

FEDERAL RESEARCH AND R&D TOPICS AVAILABLE

NATIONAL SCIENCE FOUNDATION

The RELEASE DATE for this SBIR Program Solicitation is: April 15, 1986 *

The CLOSING DATE for receipt of PHASE I proposals is: July 15, 1986

Copies of the NSF SBIR Solicitation may be obtained from the following:

National Science Foundation
Forms & Publications Office
1800 G St., N.W., Room 232
Washington, D. C. 20550
Telephone: (202) 357-7861

* NSF is issuing addenda to clarify and expand upon topic numbers 8 and 16 which are listed on pages 10 and 11 of this PSA. Requests for information on the addenda as well as all other SBIR-related inquiries should be directed to:

Roland Tibbetts or Ritchie Coryell
Program Managers, SBIR
National Science Foundation
1800 G St., N. W., Room 1250
Washington, D. C. 20550
Telephone: (202) 357-7527

The estimated number for 1986 PHASE I awards is 115 at an approximate amount of up to $40,000 each under this solicitation.

THE FEDERAL RESEARCH TOPIC TITLES AVAILABLE UNDER THIS SBIR PROGRAM SOLICITATION FOLLOW:

Subtopics include but are not limited to those listed.

1. Physics

Scientific Instrumentation
Particle Detectors
Ion Sources Instrumentation
Optical Devices
Electronics
Specialized Computers

Physics (continued)

Data Acquisition Systems
Industrial Applications
Telecommunication and Networking Devices

2. Chemistry

Chemical Synthesis
Inorganic and Organometallic Chemistry
Chemical Characterization

3. Mathematical Sciences

Analytic Methods
Algebraic Methods
Statistical Methods
Geometric Methods
Stochastic Models
Computational Mathematics

4. Computer Science

Systems Design
Software Engineering
Intelligent Systems

5. Materials Research

Metallurgy
Ceramics
Polymers
Solid State Physics
Solid State Chemistry
Low Temperature Physics
Instrumentation

6. Cellular Biosciences

Plant and Animal Cell Culture
Chemical Messengers
Genetic Technologies
Cellular Technologies
Immunological Technologies

7. Molecular Biosciences

Biochemistry
Molecular Plant Biology
New Bioresources
Instrumentation and Software

FEDERAL RESEARCH AND R&D TOPICS AVAILABLE

NATIONAL SCIENCE FOUNDATION

8. Biotic Systems and Resources *

   Reconstruction of Ecosystems
   Integrated Pest Management
   Freshwater Aquaculture
   Lake Management

9. Behavioral and Neural Sciences

   Archaeological Methods
   Anthropological Methods
   Linguistics
   Behavioral Studies
   Neural Science Studies

10. Social and Economics Sciences

    Decisionmaking under Uncertainty
    Economics
    Innovation
    Geographical Information Systems

11. Information Science and Technology

    Information Generation/Representation
    Information Management/Retrieval
    Electronic Information Exchange
    User Augmentation
    System-user Interface

12. Astronomical Sciences

    Visible Light Detectors
    Infrared Detector Arrays
    Millimeter Wavelength Instrumentation
    Optical Coating Technology
    Systems for Data Handling and Analysis

13. Atmospheric Sciences

    Measurement of Physical Properties
    Measurement of Chemical Properties
    Analytical Methods

* Please see page 8.

FEDERAL RESEARCH AND R&D TOPICS AVAILABLE

NATIONAL SCIENCE FOUNDATION

14. Earth Sciences

Crustal Studies
Analytical Measurements
Field Measurements
Stress/strain Measurements
Seismological Measurements
Synthetic Materials
Physical Properties Measurement
Drilling Technology and Logging

15. Ocean Sciences

Marine Forecasting
Oceanographic Instrumentation
Marine or Estuarine Aquaculture

16. Polar Programs *

Frost Action
Geophysical and Space Technologies
Biotechnology
Expedient and Long-Term Operations and Construction

17. Electrical, Computer, and Systems Engineering

Solid-state and Microstructures
Communications Systems and Signal Processing
Systems Theory and Operations Research
Quantum Electronics, Waves and Beams
Instrumentation, Sensing and Measurement

18. Chemical, Biochemical and Thermal Engineering

Photochemical and Electrochemical Processes
Biochemical Engineering
Biomass Engineering
Food Processes Engineering
Separation and Purification Processes
Multiphase and Interfacial Phenomena
Combustion and High Temperature Systems

* Please see page 8.

FEDERAL RESEARCH AND R&D TOPICS AVAILABLE

NATIONAL SCIENCE FOUNDATION

19. Mechanics, Structures and Materials Engineering

Solid Mechanics
Structures and Building Systems
Fluid Dynamics and Hydraulics
Tribology
Dynamic Systems and Control
Materials Engineering and Processing

20. Design, Manufacturing, and Computer Engineering

Robotics
Computer Engineering
Design Methodology and Automated Design
Advanced Manufacturing Processes
Forming, Machining, Laser Processing
Inspection, Assembly and Joining
New Unit Operations

21. Emerging and Critical Engineering Systems

Biotechnology
Bioengineering
Research to Aid the Handicapped
Lightwave Technology
Earthquake Hazard Mitigation
Environmental Engineering
Systems Engineering for Large Structures
Natural and Man-made Hazard Mitigation

22. Advanced Scientific Computing

Supercomputer Software Research
Improving Software Productivity and Computation
Porting Language Compilers
Language and Programming Environments
Numerical Analysis and Algorithm Development for Parallel Processing

FEDERAL RESEARCH AND R&D TOPICS AVAILABLE

U. S. DEPARTMENT OF HEALTH & HUMAN SERVICES

The RELEASE DATE for this SBIR Program Solicitation of the Public Health Service is: On or about January 15, 1986

The CLOSING DATE for the receipt of PHASE I proposals is: August 15, 1986
December 15, 1986

Requests for copies of this solicitation should be directed to:

SBIR Solicitation Office
3202 Monroe Street
Suite 300
Rockville, MD 20852
(301) 231-6378

The Public Health Service anticipates making approximately 275 awards in this PHASE I cycle. Awards will be in average amounts of $50,000 each.

THE FEDERAL RESEARCH TOPIC TITLES AVAILABLE UNDER THIS PROGRAM SOLICITATION ARE LISTED BELOW:

NATIONAL INSTITUTES OF HEALTH

National Institute on Aging (NIA)

Biomedical and Clinical Research on Aging

Behavioral Sciences Research on Aging

Contact:

Dr. Alan Pinkerson
Building 31, Room 5C06
Bethesda, MD 20892
(301) 496-9322

FEDERAL RESEARCH AND R&D TOPICS AVAILABLE

U. S. DEPARTMENT OF HEALTH & HUMAN SERVICES

National Institute of Allergy and Infectious Diseases (NIAID)

Immunology, Allergic and Immunologic Diseases

Microbiology and Infectious Diseases

Contact:

Dr. John Diggs
Westwood Building, Room 703
Bethesda, MD 20892
(301) 496-7291

National Institute of Arthritis, Diabetes and Digestive and Kidney Diseases (NIADDKD)

Arthritis, Musculoskeletal and Skin Diseases

Diabetes, Endocrinology and Metabolic Diseases

Digestive Diseases and Nutrition

Kidney, Urologic and Hematologic Diseases

Contact:

Mr. John Garthune
Westwood Building, Room 655
Bethesda, MD 20892
(301) 496-7793

National Cancer Institute (NCI)

Cancer Biology and Diagnosis

Contact:

Mr. Louis Greenberg
Westwood Building, Room 10A10
Bethesda, MD 20892
(301) 496-5307

FEDERAL RESEARCH AND R&D TOPICS AVAILABLE

DEPARTMENT OF HEALTH & HUMAN SERVICES (HHS)

Cancer Etiology

Contact:

Dr. John A. Cooper
Landow Building, Room 8C41
Bethesda, MD 20892
(301) 496-1882

Cancer Treatment

Contact:

Dr. Gregory Curt
Building 31, Room 3A49
Bethesda, MD 20892
(301) 496-6711

Cancer Prevention and Control

Contact:

Dr. James Callahan
Building 31, Room 4A32
Bethesda, MD 20892
(301) 496-9569

National Institute of Child Health and Human Development (NICHD)

Population Research

Research for Mothers and Children

Contact:

Dr. Duane F. Alexander
Building 31, Room 2A04
Bethesda, MD 20892
(301) 496-1848

FEDERAL RESEARCH AND R&D TOPICS AVAILABLE

DEPARTMENT OF HEALTH & HUMAN SERVICES (HHS)

National Institute of Dental Research (NIDR)

Caries

Periodontal Diseases

Craniofacial Anomalies

Restorative Materials

Pain Control and Behavioral Studies

Oral Ulcerative Diseases and Saliva Studies

Contact:

Dr. Thomas Valega
Westwood Building, Room 510
Bethesda, MD 20892
(301) 496-6324

National Institute of Environmental Health Sciences (NIEHS)

Test Methods for Assessing the Biological Effects of Environmental Agents

Specialized Products for Use in Toxicology Studies

Development of Products/Devices for Measuring Exposure to Toxic Agents

Development of Alternative Designs/Methods for Toxicity/Carcinogenicity Assessment

Contact:

Dr. Michael J. Galvin, Jr.
P. O. Box 12233
Research Triangle Park, NC 27709
(919) 541-7825

FEDERAL RESEARCH AND R&D TOPICS AVAILABLE

DEPARTMENT OF HEALTH & HUMAN SERVICES (HHS)

National Eye Institute (NEI)

Retinal and Choroidal Diseases

Corneal Diseases

Cataract

Glaucoma

Strabismus, Amblyopia, and Visual Processing

Low Vision and Rehabilitation

Contact:

Dr. Ronald Geller
Building 31, Room 6A04
Bethesda, MD 20892
(301) 496-4903

National Institute of General Medical Sciences (NIGMS)

The Cellular and Molecular Basis of Disease

Genetics

Pharmacological Sciences

Physiology and Biomedical Engineering

Contact:

Dr. Elke Jordan
Westwood Building, Room 953
Bethesda, MD 20892
(301) 496-7061

FEDERAL RESEARCH AND R&D TOPICS AVAILABLE

DEPARTMENT OF HEALTH & HUMAN SERVICES (HHS)

National Heart, Lung and Blood Institute (NHLBI)

Heart and Vascular Diseases

Lung Diseases

Blood Diseases and Resources

Contact:

Dr. Henry Roscoe
Westwood Building, Room 7A14
Bethesda, MD 20892
(301) 496-7225

National Institute of Neurological and Communicative Disorders and Stroke (NINCDS)

Communicative Disorders

Fundamental Neurosciences

Stroke and Trauma

Convulsive, Developmental and Neuromuscular Disorders

Demyelinating, Atrophic and Dementing Disorders

Contact:

Dr. Donald Luecke
Federal Building, Room 1016
Bethesda, MD 20892
(301) 496-4188

FEDERAL RESEARCH AND R&D TOPICS AVAILABLE

DEPARTMENT OF HEALTH & HUMAN SERVICES (HHS)

Division of Research Resources (DRR)

Instrumentation and Specialized Technologies for Biomedical Research

Research in Laboratory Animal Sciences

Development of Biomedical Research Methods Employing Lower Organisms, Tissue/Cells in Culture or Mathematical and Computer Simulations

Development of Systems for Management of Clinical Research Information

Contact:

Dr. James O'Donnell
Building 31, Room 5B03
Bethesda, MD 20892
(301) 496-6023

X. PARTICIPATING FEDERAL AGENCY SBIR REPRESENTATIVES

Department of Agriculture

Dr. Olga v. H. Owens
Office of Grants and Program Systems
Department of Agriculture
Washington, D. C. 20250
(202) 475-5038

Department of Commerce

Mr. James P. Maruca
Director, Office of Small and
Disadvantaged Business Utilization
Department of Commerce
Room 6411
14th & Constitution Ave., N.W.
Washington, D. C. 20230
(202) 377-1472

Department of Defense

Mr. Horace Crouch
Director, Small Business and Economic Utilization
Policy
Office of the Secretary of Defense
Room 2A340 - The Pentagon
Washington, D. C. 20301-3061
(202) 697-9383

Department of Education

Mr. John Christensen
SBIR Program Coordinator
Department of Education
Room 602D
555 New Jersey Avenue, N. W.
Washington, D. C. 20208
(202) 357-6065

Department of Energy

Ms. Gerry Washington
c/o SBIR Program Manager
Department of Energy
Washington, D. C. 20545
(301) 353-5867

PARTICIPATING AGENCY SBIR REPRESENTATIVES

Department of Health and Human Services

Mr. Richard Clinkscales
Director, Office of Small and Disadvantaged
Business Utilization
Department of Health and Human Services
Room 513D
200 Independence Ave., S.W.
Washington, D. C. 20201
(202) 245-7300

Department of Transportation

Dr. George Kovatch
SBIR Program Manager
Transportation Systems Center
Department of Transportation
Kendall Square
Cambridge, MA 02142
(617) 494-2051

Environmental Protection Agency

Mr. Walter H. Preston
Research Grants Staff (RD-675)
Office of Research and Development
Environmental Protection Agency
401 M Street, S.W.
Washington, D. C. 20460
(202) 382-7445

National Aeronautics and Space Administration

Mr. Harry Johnson
Director, SBIR Division - Code IR
National Aeronautics and Space Administration
600 Independence Avenue, S.W.
Washington, D. C. 20546
(202) 453-8702

PARTICIPATING AGENCY SBIR REPRESENTATIVES

National Science Foundation

Mr. Roland Tibbetts
Mr. Ritchie Coryell
SBIR Program Managers
National Science Foundation
1800 G Street, N.W.
Washington, D. C. 20550
(202) 357-7527

Nuclear Regulatory Commission

Mr. Raymond P. Gustave
SBIR Program Manager
U. S. Nuclear Regulatory Commission
Washington, D. C. 20555
(301) 427-4250

XI. HOW TO OBTAIN THE SBIR PROPOSAL PREPARATION PAMPHLET

To assist small business concerns planning to participate in the SBIR Program with their selection of projects and preparation of proposals, this Agency has published a pamphlet entitled "PROPOSAL PREPARATION FOR SMALL BUSINESS INNOVATION RESEARCH (SBIR)" (SBIR T1).

Copies of this pamphlet may be obtained from the following regional offices of the U. S. Small Business Administration.

SBA Regional Office
60 Batterymarch
10th Floor
Boston, MA 02110

SBA Regional Office
26 Federal Plaza
Room 29-118
New York, NY 10278

SBA Regional Office
231 St. Asaphs Road
Suite 640-W
Bala Cynwyd, PA 19004

SBA Regional Office
1375 Peachtree St., N.E.
5th Floor
Atlanta, GA 30367

SBA Regional Office
230 South Dearborn St.
Rm. 510
Chicago, IL 60604

SBA Regional Office
8625 King George Drive
Building C
Dallas, TX 75235

SBA Regional Office
911 Walnut Street
13th Floor
Kansas City, MO 64106

SBA Regional Office
1405 Curtis Street
22nd Floor
Denver, CO 80202

SBA Regional Office
450 Golden Gate Avenue
San Francisco, CA 94102

SBA Regional Office
2615 4th Avenue
Room 440
Seattle, WA 98121